Case:17-03283-LTS Doc#:4581-3 Filed:12/27/18 Entered:12/28/18 09:14:20 Desc: Exhibit Individual Retirement Account Statement (October 1 2018-November 30 20 Page 1 of 7



LINDA W BIRD INDIVIDUAL RETIREMENT ACCOUNT RBC CAPITAL MARKETS LLC CUST 2790 NE 57 CT FT LAUDERDALE FL 33308-2724

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W04

YOUR INFORMATION **Individual Retirement Account**

Your Financial Advisor

Dennis K Bird 2790 NE 57th Court Ft Lauderdale FL 33308

Telephone: (954) 491-3098 or (800) 628-6002

(314) 909-0606 Fax: dbird@moseco.com E-mail:

INDIVIDUAL RETIREMENT **ACCOUNT STATEMENT**

OCTOBER 1, 2018 - NOVEMBER 30, 2018

Account number: Page 1 of 7

ACCOUNT VALUE SUMMARY		
	THIS PERIOD	THIS YEAR
Beginning account value	\$217,914.21	\$201,857.42
Withdrawals	0.00	-2.00
Income	1,043.29	8,519.16
Change In asset value	-10,756.33	-2,173.41
Ending account value	\$208,201.17	\$208,201.17

TOTAL PORTFOLIO VALUE

Ending account value	\$208,201.17
Estimated accrued interest	521.53
Total portfolio value	\$208,722.70

Please see "About Your Statement" on page 2 for further information.

YOUR MESSAGE BOARD

MSAM Clients: The following is notice of a material change to item 9 of MSAM's ADV Part 2a. A FINRA Arbitration was filed against Moloney Securities Co., Inc., MSAM, and UBS claiming that a Registered Representative, from 2010 to June 2017, recommended an overconcentrated strategy in LP's in the energy sector, which exposed claimant to risk inconsistent with his investment objectives. In October 2018, a FINRA Arbitration Panel issued an award in favor of claimant in the amount of \$300,000 and against Moloney Securities Co., Inc. and MSAM.

To request a copy of MSAM's ADV Part 2a, call Jeff Roseman, CCO, at (314) 909-0600, email iroseman@msam.net, or visit their website at msam.net for a copy.

Get updated account information over the internet anytime at www.investor-connect.com. It's free & there for your convenience.

Not registered yet? Register online at www.investor-connect.com. Forgot your password? Or need a little help logging in the first time? Call the help desk toll free at 1-888-870-4829.

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LINDA W BIRD INDIVIDUAL RETIREMENT ACCOUNT

Account number: 1704 Page 2 of 7

GO PAPERLESS - Certain client documents are available for electronic delivery by accessing your account online at www.lnvestor-Connect.com or your broker dealer's website. Upon signing up for this service, you will choose which documents you do not want to receive in the mail. You will then be notified by email when they are available for viewing and printing via the Internet. You may change your paperloss elections at any time by going to the Online Documents page on the website.

ABOUT YOUR ACCOUNT—RBC Capital Markets, LLC, through the courtesy of RBC Correspondent Services, a division of RBC Capital Markets, LLC (RBC), carries your account and acts as your custodian for fonds and securities deposited with a directly by you, through your brokerage firm or as a result of transactions we process for your account. Inquiries concerning the positions and balances in your account may be directed to us at 612-371-7830. All other inquiries regarding your account or the activity therein should be directed to your brokerage firm. Please review your account statement in its entirety. If you note any discrepancies in your more balance, accurity positions, tax lots chosen for disposition, or unsuttorized activity in your account, report it immediately to your brokerage firm and RBC. In addition, you should reconfirm in writing any oral communications with your brokerage firm or (RBC Correspondent Services, Attn: Relationship Management, 60 South 6th Street P18, Minneapolls, MN 55402) to further protect your rights, including your rights under the Securities investor Protection Act (SIPA). A statement of financial condition for RBC is available for your personal inspection at our offices or a copy will be mailed to you upon written request.

Scentitics and cash in your account(s) are protected up to \$500,000 per client (including a \$250,000 limit for each only) by the Scentitics Invostor Protection Corporation (SIPC). RBC has purchased an additional policy covering up to \$99.5 million por SIPC-qualified account (of which \$900,000 may be each) subject to a total maximum aggregate for RBC of \$400 million which would be distributed on a pro-rate basis across all losses by clients of RBC. This protection applies only to the physical loss or destruction of your securities; it does not apply to any decline in the market value of your securities. Other investments shown on your statement but not held at RBC may not be protected by SIPC or private insumance policies purchased by RBC. Certain investments and transactions are ineligible under SIPC, such as commodity futures contracts and currency, investment contracts (steh as limited partnerships), fixed annuity contracts that are not registered with the U.S. Securities and Exchange Commission under the Securities Act of 1933, and foreign currency transactions. For more details, please talk to your Financial Advisor, call SIPC at 202-371-8300 for a brechure, or visit www.sipc.org.

All securities we hold for you that are not registered in your name ("street name" securities) are commingled with identical securities being held for other clients. In the event that any such securities are "called" by the issuer, we will determine which clients' securities are redeemed using an impartial selection system, as required by Financial Industry Regulatory Authority (FINRA) rules. RRC's lottery procedures for callable securities may be found on our website at www.tbowm-usa.com/legal/tbc-cs. A printed copy of those procedures may be requested from your Financial Advisor.

To roport a lost or stolen Visa® Platinum Debit Card, or for questions regarding check activity, money fund balances, and Visa® Platinum Debit Card activity, call Client Support Services at 800-933-9946.

If you have an options account, each of the transaction confirmations we send you itemizes the commissions you have paid. Upon request, we will also provide you with a statement of the total option commissions you have paid this calendar year.

Accounts may be subject to an annual and/or inactive fee. Contact your Financial Advisor for information.

ABOUT YOUR INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE - The Investment Objective and Risk Tolerance, where applicable, on page 3 of this statement are specifie to this account and should reflect your investment goals and the level of overall risk you are willing to assume in seeking returns for this account.

Investment Objective	Preservation of Principal/ Income	Balanead Growth	Growth	Ардиваны Онив Вудава на потито	Speculation
Lower Return Potential Lower Right	4				Higher Return Potential Isgher Rink
Risk Tolerence	Minimal Risk	Low Risk	Moderate Risk	1 figh Risk	Maximum Rink

The Advisory Risk Profile, also noted on page 3, if applicable, is applied broadly across specified advisory accounts held at RBC and should reflect the basis for the recommendation of an appropriate investment strategy designed to meet your objectives and financial needs as identified in your Risk Profile questionnaire.



Please consult promptly with your Financial Advisor if the information shown does not accurately reflect your objective or risk tolerance, or if you wish to impose or modify any restrictions on your account.

ABOUT YOUR STATEMENT - Statements are mailed monthly to clients who have transactions during the month that affect money balances and/or security positions. Statements are mailed quarterly to all other clients provided that their account contains a money or security balance. Please review these statements carefully and keep them for your records.

Your statement is intended to provide only a summary of activity in your account(s) for the statement period. The information provided on the statement includes, among other things, a snapshot of the value of your account(s), a summary of the income you received for the statement and year-to-date periods; contributions to Traditional, Roth, or other Individual Retirement Account(s), and transactions in matual funds shares. The presentation of the value of your account(s), as well as changes in value, includes all deposits, withdrawals, and other changes in market value. It may also reflect a reduction in value as a result of the return of principal on certain fixed income securities. However, changes in the value of unpriced securities, special products, or accrued interest are not reflected.

If you have questions about your individual tax situation, please consult your tax advisor,

The prices for most securities and certain securities transactions reported on this statement are obtained from independent quotation

services whose appraisal(s) are based on closing prices, bid-ask quotations, or other factors; however, in some cases, RBC calculates prices for certain securities using information from independent and internal sources. If you hold municipal revenue bonds, please be aware that the price you may receive on their sale may vary significantly from the price shown on your statement. Moreover, certain securities may have unique valuation requirements. Certain securities prices may not be current as of the statement date, and certain adjustments to your holdings may not yet have been included. If you purchase and/or hold securities traded in a market outside of the United States, and/or denominated in a currency other than United States dollars, the price of those securities may be converted into United States dollars for inclusion on your statement. The risks of adverse changes in the value of non-United States currencies relative to the United States dollar are borne by your RBC does not hedge or otherwise or mitigate hisks. While we obtain pricing and currency conversion information from sources that we believe are reliable, RBC cannot guarantee the accuracy of the prices and currency conversion information that appear on your statement. You should always request a current valuation of your securities prior to making an investment decision or placing an order to buy or sell securities.

RBC's Dividend Reinvestment Program (DRIP) is available to holders of eligible securities. Please contact your Financial Advisor for more information on the program.

Non-priced Securities – Securities that are not actively traded and for which no independent quotation-service pricing is readily available are excluded from the (i) Market Price, (ii) Market Value, and (iii) Account Value Summary Total sections of your statement. In these instances, the Market Value and Total Account Summary sections will reflect a \$0.00 km for these securities until such time that they begin active trading and/or have a readily available independent quotation-service price. Accound interest for non-priced (NP) securities will be reflected where applicable. Examples of NP securities may include, but are not limited to, auction rate securities, auction rate preferred securities and certain structured products, and over-the-counter equity securities.

Your statement also includes a summory of the short- or long-term gain or loss from the sale of selected securities in non-retirement accounts "Short-term" refers to securities held for one year or less, "long-term" refers to securities had more than one year. RBC provides gainfloss information as a service to year; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security that you or another source at your direction provided to RBC. Gainfloss information may also reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. If you have elected to receive interest on free credit balances maintained in your account, please be advised that under federal securities laws and the rules of FINRA, we are permitted to pay such interest only on balances arising as an incidence of securities trading solivities. We may use a free credit balance in your account in the course of our business, subject to limitations of 17CFR Section 2-0.1563 3 under the Securities Exchange Act of 1934. You may demand and receive from us during normal business hours the delivery of any free credit balances or fully paid securities in your account, and/or my securities purchased in your loan account upon full payment of any indebtedness to us. Any halance in the RBC Insured Deposits or shares in a money market fund in your account may be liquidated on

your order and the proceeds returned to your account or remitted to you upon the full payment of any indebtedness to us.

If this is an RBC Express CreditTM (margin) account and RBC maintains a special memorandum account for you, this is a combined statement of both your general account and the special memorandum account maintained for you under Regulation T of the Federal Reserve system. The permanent record of the special memorandum account as required by Regulation T is available for your inspection at your request. RBC reserves the right to limit RBC Express Credit (margin) purchases and short sales and to after its margin requirements and due dates for house or other margin calls in accordance with the firm's guidelines, market conditions, and regulatory requirements.

The prices reported on your RBC statement for securities issued through or by a Direct Participation Program, Real Estate Investment Trust, or private securities, including hedge funds, are estimates, RBC does not calculate the prices of those securities, and has not continued these prices or verified that they are determined correctly. Instead, RBC relics on independent quotation services or the management, trustee, or general partner of the issuer of the securities to provide such prices. The prices may be based on independent appraisals, the book value of the entity's assets, the prices paid or offered for the securities, or another method or basis (or a combination of any of these). These securities are illiquid, and do not trade in a public market. Consequently, the estimated value of the securities (which is shown on your statement) may not equal the amount(s) that you receive if you attempt to sell your investment. In some cases, accurate valuation information relating to these securities may not be available. For current or estimated price information on the estimated value of the securities, the source of the actual or culmitted value of the securities, or the mothod by which the value was determined or estimated, please contact your Financial Advisor.

If this statement contains an estimated value, you should be aware that this value may be based on a limited number of trades or quotes. Therefore, you may not be able to self these necurities at a price equal or near to the value shown. However, the broker-dealer providing this statement may not refuse to accept your order to sell these securities. Also, the amount you receive from a sale generally will be reduced by the amount of any commissions or similar charges. If an estimated value is not shown for a security, a value could not be determined because of a lack of information.

For a schedule of fees charged by RBC CS and your brokerage firm, you may visit Investor Connect through your Financial Advisor's website or directly at www.investor-coancet.com where a list of fees is included in the most recent electronic statement insert section for the periods ending March, June, September or December. Please contact your Financial Advisor with additional questions about the list of fees, or for help accessing Investor Connect.

FINRA BrokerCheck Hottline - FINRA has made available to investors a pamphlet describing FINRA BrokerCheck for your information. To obtain a copy of the brochure, please contact FINRA at 800-289-9999 or visit their wobside at www.linra.org.

Same-Day Cash Sweep Redemptions - If your transaction has the description "Same Day," the transaction you requested required same-day payment; RBC retained the last day's dividend to offset the cost of advancing a same-day payment on your behalf.





INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

OCTOBER 1, 2018 - NOVEMBER 30, 2018



ASSET ALLOCAT	TON SUMMARY		
		CURRENT VALUE	PERCENT
	RBC Insured Deposits	\$11,975.99	6%
/ \ / \	\	54,358.68	26%
/ V	International equities	47,667.75	23%
	Taxable fixed income	81,598.75	39%
	│ ☐ Tax-exempt fixed income	12,600.00	6%
	Current account value	\$208,201.17	100%

Mutual funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets."

The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable,

Deposits in the RBC Insured Deposits are not covered by SIPC. Please see Asset Detail beginning on page 4 for more information.

INVESTMENT OBJECTIVE / RISK TOLERANCE

The Investment objective for this account is: Growth
The risk tolerance for this account is: Moderate Risk

Please see "About Your Investment Objective / Profile and Risk Tolerance" on page 2 for further information.

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	\$0.00	\$0.00
Short-term gain or loss	0.00	0.00
Long-term gain or loss	0.00	0.00
	AS OF N	NOVEMBER 30, 2018
Unrealized gain or loss		-\$27,762.50

Please see "About Your Statement" on page 2 for further information.

Total account value last statement		\$217,914,21
Cash activity	, , ,	
Beginning balance	10,932.70	
Money coming into your account		
Interest	1,043,29	
Total	1,043.29	
Money going out of your account		
Total	0.00	
Ending balance	11,975.99	
Net change cash activity		\$1,043.29
Change in security value		· · · · · · · · · · · · · · · · · · ·
Beginning value of priced securities	206,981.51	
Change in value of priced securities	-10,756.33	
Ending value of priced securities	196,225.18	<u> </u>
Net change in securities value		-\$10,756.33
Total account value as of November 30, 2018	- 	\$208,201.17

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LINDA W BIRD
INDIVIDUAL RETIREMENT ACCOUNT

INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

Account number: REDACTED 1704
Page 4 of 7

OCTOBER 1, 2018 - NOVEMBER 30, 2018

DREVIOUS STATEMENT

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated.

RBC INSURED DEPOSITS

Deposits in the RBC Insured Deposits, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Correspondent Services. They are not covered by SIPC. Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks. Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) to \$250,000 per depositor at each bank subject to certain limitations. This means that your funds in excess of \$250,000 in the same insurable capacity at any single Program Bank are not insured. FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account. Please refer to the RBC Insured Deposits Disclosure Statement and our www.investor-connect.com for more details, including the current aggregate FDIC deposit insurance amount available through the Program. For questions concerning bank balances, please contact your Financial Advisor.

DESCRIPTION			BALANCE	BALANCE	P	BALANCE		YTD INCOME
RBC INSURED DEPOSITS NOT SIPC COVERED				\$11,975.99		\$10,932.70		\$19.23
DEPOSITS ARE HELD AT:	ci F. II- cD		#1 020 OF					
Wells Fargo Bank NA US Bank NA	Sioux Falls, SD Minneapolis, MN		\$1,038.05 \$10,937.94					
TOTAL RBC INSURED DEPOSITS				\$11,975.99				\$19.23
US EQUITIES								
DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS * /	ESTIMATED ANNUALIZED INCOME
COLUMBIA ACORN TR FD CL A	LACAX	959.388	\$13.190	\$12,654.33	Reinvest	\$18,550.22	-\$5,895.89	_
DAVIS NEW YORK VENTURE FUND	NYVTX	1,408.455	\$29.610	\$41,704.35	01	\$47,359.33	-\$5,654.98	\$236.62
INC-CL A					Purchase Reinvest	\$15,669.33 \$31,690.00	-\$2,056.60 -\$3,598.37	
TOTAL US EQUITIES				\$54,358.68	Kenivest	\$65,909.55	-\$11,550.87	\$236.62

^{*} The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.



ESTIMATED ACCRUED BOND INTEREST

INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

OCTOBER 1, 2018 - NOVEMBER 30, 2018

Account number: 1704
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INTERNATIONAL EQUITIES			MARKET	MARKET	NET COST •	UNREALIZED	ESTIMATED ANNUALIZED INCOME
ALIBABA GROUP HOLDING LTD	SYMBOL/CUSIP BABA	100,000	PRICE \$160.860	\$16,086.00	\$11,253.82	\$4,832.18	
SPONSORED ADR	AEPGX	646.637	\$48.840	\$31,581.75	N/A	N/A	\$237.32
EUROPACIFIC GROWTH FD CL A	ACPUX	040.037	J40.04U	د۱.۱۵۲٫۱۲	14/2	14/7	7237.32
TOTAL INTERNATIONAL EQUITIES			_	\$47,667.75	\$11,253.82	\$4,832.18	\$237.32
TAXABLE FIXED INCOME							
DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BANK AMER CORP SR INTERNOTES MOODY A3 S&P A-	06050WEB7 CPN: 4.300% DUE 11/15/2019 DTD: 11/18/2010 BOOK ENTRY ONLY	25,000.000	\$99.854	\$24,963.50 \$44.79	\$25,000.00	-\$36.50	\$1,075.00
GENERAL ELEC CAP CORP INTERNOTES MOODY BAA1 S&P BBB+	36966TAT9 CPN: 4.000% DUE 11/15/2021 DTD: 11/18/2010 BOOK ENTRY ONLY	25,000.000	\$94.224	\$23,556.00 \$41.67	\$25,000.00	-\$1,444.00	\$1,000.00
WOODLAND PK COLO SCH DIST NO PRIMARY/SECONDARY EDUCATION REV 2016 ORIGINAL ISSUE DISCOUNT ASSURED GUARANTY MUNICIPAL COR CALLABLE 12/01/26 AT 100:000 MOODY N/A S&P AA	97966YAL7 CPN: 3.500% DUE 12/01/2029 DTD: 10/26/2016 BOOK ENTRY ONLY	25,000.000	\$95.317	\$23,829.25 \$435.07	\$25,183.36	-\$1,354.11	\$875.00
PUERTO RICO SALES TAX FING GENERAL PURPOSE REV 2011B IN DEFAULT CALLABLE 08/01/21 AT 100.000 MOODY CA S&P N/A	74529JME2 CPN: 5.000% DUE 08/01/2031 DTD: 11/23/2011 BOOK ENTRY ONLY	20,000.000	\$46.250	\$9,250.00	\$20,342.40	-\$11,092.40	
TOTAL TAXABLE FIXED INCOME		95,000.000		\$81,598.75	\$95,525.76	-\$13,927.01	\$2,950.00

\$521.53

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LINDA W BIRD
INDIVIDUAL RETIREMENT ACCOUNT

INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

Account number:

REDACTED 1704

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OCTOBER 1, 2018 - NOVEMBER 30, 2018

$T\Delta V_{-}$	FYFMPT	CIYED	INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST * UNREALIZED ESTIMATED GAIN/LOSS * ANNUALIZED INCOME
PUERTO RICO ELEC PWR AUTH ELECTRICITY AND PUBLIC POWER REV SER XX IN DEFAULT ORIGINAL ISSUE DISCOUNT CALLABLE 07/01/20 AT 100.000 MOODY CA S&P N/A	74526QVZ2 CPN: 4.750% DUE 07/01/2026 DTD: 04/07/2010 BOOK ENTRY ONLY	20,000.000	\$63,000	\$12,600.00	\$19,716.80 -\$7,116.80
TOTAL TAX-EXEMPT FIXED INCO	ME	20,000.000		\$12,600.00	\$19,716.80 -\$7,116.80

ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest.

Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account Account value changes due to commissions, mark ups, mark downs and accrued interest are shown in the "Change in value of priced securities" line of the Account Value Summary.

INCOME

Interest

DATE	DESCRIPTION		SYMBOL/CUSIP	AMOUNT	COMMENTS
10/26/18	RBC INSURED DEPOSITS APYE#: 00.23 % # APYE = Annual Percentage Yield Earned	MONTHLY INTEREST 09/26-10/25 INTEREST	2015912	\$2.24	
11/15/18	BANK AMER CORP DUE 11/15/2019 4.300 REC 10/31/18 PAY 11/15/18	SR INTERNOTES REG INT ON 25000 BND INTEREST	06050WEB7	\$537.50	
11/15/18	GENERAL ELEC CAP CORP DUE 11/15/2021 4.000 REC 10/31/18 PAY 11/15/18	INTERNOTES REG INT ON 25000 BND INTEREST	36966TAT9	\$500.00	
11/26/18	RBC INSURED DEPOSITS APYE#: 00.25 % # APYE = Annual Percentage Yield Earned	MONTHLY INTEREST 10/26-11/25 INTEREST	2015912	\$3. 55	_
Total inter	est			\$1,043.29	
TOTAL INC	СОМЕ			\$1,043.29	

^{*} Information that appears in these columns may be based on information provided by you or at your direction; RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.





INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

OCTOBER 1, 2018 - NOVEMBER 30, 2018

Account number: 1704
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RETIREMENT ACCOUNT INFORMATION

CONTRIBUTIONS

DESCRIPTION	IRA	ROLLOVER	DIRECT ROLLOVER RECHA	RACTERIZATION	
DEPOSITED IN 2017 FOR 2017	\$0.00	\$0.00	\$0.00	\$0.00	
DEPOSITED IN 2018 FOR 2017	\$0.00	\$0.00	\$0.00	\$0.00	
DEPOSITED IN 2018 FOR 2018	\$0,00	\$0.00	\$0.00	\$0.00	

CASH SWEEP PROGRAM DETAIL

RBC INSURED DEPOSITS NOT SIPC COVERED

DATE	DESCRIPTION	AMOUNT	DATE	DESCRIPTION	AMOUNT
10/01/18	BALANCE FORWARD	\$10,932.70	10/26/18	INTEREST REINVEST	2.24
11/16/18	DEPOSIT	1,037.50	11/26/18	INTEREST REINVEST	3.55
11/20/19	ENDING BALANCE	t11 975 99			

INCOME FROM OCTOBER 1, 2018 - NOVEMBER 30, 2018: \$5.79

^{*} Transaction details are only provided for cash sweep programs that sweep funds into bank deposit accounts. Please refer to the Asset Detail section of this statement for more information.